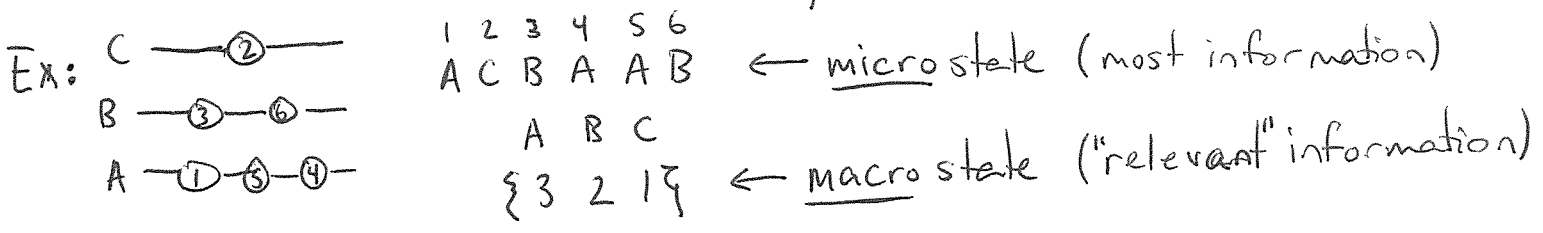
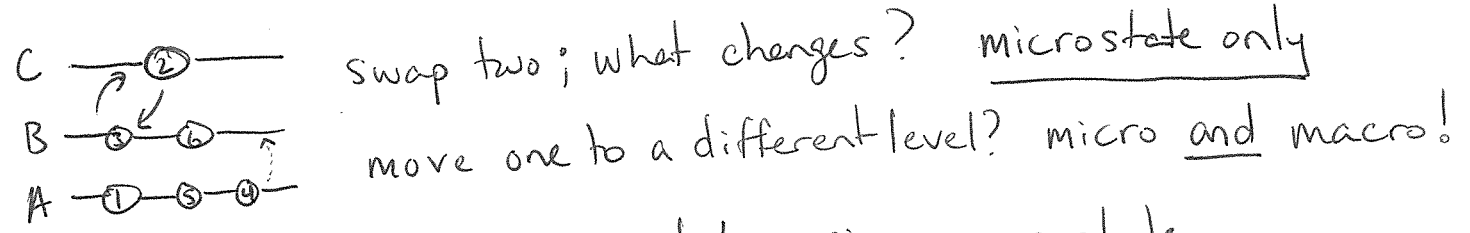


\* Stat mech is all about counting states (ways of organizing things)



\* think about energy levels if it helps



\* multiple microstates correspond to a given macrostate

~~Now imagine a deck of cards. Probability of drawing~~

Now imagine two dice. The individual #'s are the microstates; the sum is the macrostate. How many of each? (36, 11)

Which is most probable? 7 Why?  
 ↑  
 macrostate

What did you assume to get that? Dice are fair! All states are equally likely. This is known as the "principle of equal a priori probability". It is an unprovable assumption, but one that has not let us down. All accessible microstates are equally likely.

A measure of the # of (micro)states is  $\Omega$ . This can be related to the ~~thermodynamic~~ thermodynamic concept of entropy by

$$S = k_B \ln \Omega$$

Maximizing this quantity gives the most likely (macro)state.

GRE Question: The 3D harmonic oscillator transitions from the  $n=1$  state to the  $n=2$  state. What is the change in entropy?

- (A) 0
  - (B)  $\hbar\omega$
  - (C)  $k_B$
  - (D)  $k_B \ln 2$
  - (E)  $k_B \ln 3$
- can rule out (B) for units, form  
 (C) implies ~~1/3~~ # states  $\Omega$  ~~is~~ is non-integer  
~~(A)~~ (A) means # states doesn't change  
 what about (D) and (E)?

$$\Delta S = k_B \ln \Omega_2 - k_B \ln \Omega_1 = k_B \ln \frac{\Omega_2}{\Omega_1}$$

$n=1$ : (1,0,0); (0,1,0); (0,0,1)  $\Omega_1 = 3$

$n=2$ : (2,0,0) ... (1,1,0) ...  $\Omega_2 = 6$

$$\Delta S = k_B \ln \left(\frac{6}{3}\right) = k_B \ln 2$$

D

To count states, need to know constraints on the system. These constraints define the ensemble. For GRE,  $\frac{NVT}{}$  is the most common. Sometimes  $\frac{NVE}{}$  comes up, too.  
 (canonical)  
 (microcanonical)

$\frac{NVT}{}$  constrains average energy  $\langle E \rangle = \sum_{\text{microstates}} p_i E_i$ ;  $\sum p_i = 1$

with these two constraints, maximizing  $S$  means (use Lagrange multiplier

$$p_i = \frac{e^{-\beta E_i}}{\sum e^{-\beta E_i}} \quad \sum e^{-\beta E_i} = Z \text{ defined as the } \underline{\text{partition function}}$$

GRE Question: What is the partition function of a 1D harmonic oscillator?

(A)  $e^{-\hbar\omega/kT}$

(B)  $1 - e^{-\hbar\omega/kT}$

(C)  $(1 - e^{-\hbar\omega/kT})^{-1}$

(D)  $(2 \cosh \frac{\hbar\omega}{2kT})^{-1}$

(E)  $(2 \sinh \frac{\hbar\omega}{2kT})^{-1}$

$$Z = \sum_i e^{-\beta E_i} \quad E_i = \hbar\omega(n + 1/2)$$

$$Z = \sum_{n=0}^{\infty} e^{-\hbar\omega/kT(n+1/2)} = e^{-\hbar\omega/2kT} \sum_{n=0}^{\infty} \left[ e^{-\frac{\hbar\omega}{kT}} \right]^n$$

$$= e^{-\hbar\omega/2kT} \left( \frac{1}{1 - e^{-\hbar\omega/kT}} \right) = \left( \frac{1}{e^{\hbar\omega/2kT} - e^{-\hbar\omega/2kT}} \right)$$

$$= \left( 2 \sinh \frac{\hbar\omega}{2kT} \right)^{-1} \quad \boxed{E}$$

Partition Function contains all our knowledge of the system

$$\begin{aligned}
 \text{Ex: } \langle E \rangle &= \sum p_i E_i = \frac{\sum E_i e^{-\beta E_i}}{Z} = \frac{1}{Z} \sum \left( -\frac{\partial}{\partial \beta} e^{-\beta E_i} \right) \\
 &= \frac{1}{Z} \left( -\frac{\partial}{\partial \beta} \sum e^{-\beta E_i} \right) = \frac{1}{Z} \left( -\frac{\partial}{\partial \beta} Z \right) = \underline{-\frac{\partial}{\partial \beta} \ln Z}
 \end{aligned}$$

What is the average energy of a 1D harmonic oscillator?

$$Z = \left( 2 \sinh \frac{\hbar \omega}{2kT} \right)^{-1} = \left( 2 \sinh \frac{\hbar \omega \beta}{2} \right)^{-1}$$

$$\begin{aligned}
 \langle E \rangle &= -\frac{\partial}{\partial \beta} \ln Z = -\frac{\partial}{\partial \beta} \left( -1 \ln 2 \sinh \left( \frac{\hbar \omega \beta}{2} \right) \right) = \frac{1}{2 \sinh \frac{\hbar \omega}{2kT}} \cdot \cosh \left( \frac{\hbar \omega}{2kT} \right) \cdot \frac{\hbar \omega}{2} \\
 &= \frac{\hbar \omega}{2} \coth \left( \frac{\hbar \omega}{2kT} \right) = \hbar \omega \left( \frac{1}{2} + \frac{1}{e^{\hbar \omega / kT} - 1} \right)
 \end{aligned}$$

K Do you know how to count? Are you sure?

RE: Six cups #1-6 each <sup>can</sup> hold one marble. There are three red, one blue.

How many ways to fill the cups with all four marbles?

- (A) 6
- (B) 12
- (C) 24
- (D) 30
- (E) 60

Two solutions

#1) choose 4 cups  $\binom{6}{4} = \frac{6!}{4! \cdot 2!} = \frac{6 \cdot 5}{2} = 15$   
 then choose 1 for the blue marble  $\binom{4}{1} = \frac{4!}{1! \cdot 3!} = 4$   $\rightarrow$  60  
**[E]**

GRE: A system has two states with energies  $-\epsilon$  and  $2\epsilon$ . What is the probability of the higher energy state?  $-\epsilon \rightarrow 0$   
 $2\epsilon \rightarrow 3\epsilon$

- (A) 0
- (B)  $(1 + e^{3\epsilon/kT})^{-1}$
- (C)  $(1 - e^{3\epsilon/kT})^{-1}$
- (D)  $(e^{\epsilon/kT} + e^{-2\epsilon/kT})^{-1}$
- (E) 1

A, E seem unlikely!

$$\begin{aligned}
 p_i &= \frac{e^{-\beta E_i}}{Z} = \frac{e^{-\beta \cdot 2\epsilon}}{e^{\beta \epsilon} + e^{-\beta \cdot 3\epsilon}} = \frac{1}{e^{3\beta \epsilon} + 1} \\
 &= (1 + e^{3\epsilon/kT})^{-1} \quad \mathbf{[B]}
 \end{aligned}$$